PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

he Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/758.608 TRANSMITTAL Filing Date January 14, 2004 **FORM** First Named Inventor Lo Art Unit 3762 (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number SALU-01001US0 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication ✓ Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC ✓ Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Burt Magen, Vierra Magen Marcus Harmon & DeNiro LLP Individual name Signature Date 14, 2004 **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Burt Magen, Reg. No. 37,175 Date Signature This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the

amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)
Approved for use through 07/31/2006, OMB 0651-0032
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FEE TRANSMITTA	L
for FY 2004	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

SUBMITTED BY

(\$)	12
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Complete if Known				
Application Number	10/758,608			
Filing Date	January 14, 2004			
First Named Inventor	Lo			
Examiner Name				
Art Unit	3762			
Attorney Docket No.	SALU-01001US0			

(Complete (if applicable))

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)				
Check Credit card Money Other None	3. ADDI	TION	AL FEI	ES	
Deposit Account:	Large Entit	ty Sm	all Entity	Y	
Deposit Fo. 1000	Fee Fee Code (\$)		Fee e (\$)	Fee Description	Fee Paid_
Number	1051 13	0 205	1 65	Surcharge - late filing fee or oath	
Deposit Vierra Magen Marcus Account Harmon & DeNiro LLP	1052 5	0 205	2 25	Surcharge - late provisional filing fee or cover sheet	
Name The Director is authorized to: (check all that apply)	1053 13	0 105	3 130	Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812 2,52	20 181:	2,520	For filing a request for ex parte reexamination	ļ
Charge any additional fee(s) or any underpayment of fee(s)	1804 92	20* 180	920	* Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805 1,84	180	5 1,840	* Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251 11	10 225	1 55	Extension for reply within first month]
1. BASIC FILING FEE	1252 42	20 225	2 210	Extension for reply within second month	\vdash
Large Entity Small Entity	1253 95	50 225	3 475	Extension for reply within third month	
Fee Fee Fee Fee Paid	1254 1,48	30 225	4 740	Extension for reply within fourth month	
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	1255 2,01	10 225	5 1,00	5 Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401 33	30 24	01 165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402 33	30 24	02 165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403 29	90 24	03 145	5 Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451 1,51	10 145	1 1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0	1452 11	10 24	52 5	5 Petition to revive - unavoidable	
	1453 1,33	30 245	3 665	5 Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501 1,33	30 250	1 66	5 Utility issue fee (or reissue)	
Extra Claims below Fee Paid	1502 48	30 25	02 240	D Design issue fee	
Total Claims	1503 64	10 25	320	Plant issue fee	
Independent	1460 13	30 14	60 130	Petitions to the Commissioner	
	1807 5	50 18	07 50	Processing fee under 37 CFR 1.17(q)	<u> </u>
Large Entity Small Entity	1806 18	30 18		Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	8021 4	40 80	21 40	Recording each patent assignment per property (times number of properties)	
1202	1809 77	70 28		5 Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810 77	70 28	10 38	5 For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims over original patent	1801 77	70 280	1 38	examined (37 CFR 1.129(b)) 5 Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent		00 180		Request for expedited examination of a design application	
l <u> </u>	Other fee (specify)				
SUBTOTAL (2) (\$) 124 **or number previously paid, if greater; For Reissues, see above	*Reduced	by Basi	c Filing I	Fee Paid SUBTOTAL (3) (\$)	0

Registration No. Telephone(415) 369-9660 Burt Magen 37,175 Name (Print/Type) Signature WARNING: Information on this form play become public. Credit card information should not

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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PATENT APP	LICATION
Art Unit:	3762
Customer No.	28554

Filed: January 14, 2004

Title: ULTRASONIC MONITOR FOR

MEASURING HEART AND PULSE

RATES

Lo, et al.

10/758,608

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 14, 2004

Burt Magen, Reg. No. 37,175 Signature Date: September 14, 2004

SECOND PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In re Application

Application No.:

Inventors:

Sir:

Prior to examination of the above-identified patent application, please enter the following amendments and consider the following remarks.

AMENDMENTS TO THE CLAIMS are reflected in the listing of claims which begin on page 2 of this Response.

REMARKS begin on Page 12 of this Response.

09/20/2004 BABRAHA1 00000008 10758608

01 FC:2202 02 FC:2201

81.00 OP 43.00 OP

Attorney Docket No.: SALU-01001US0 salu/1001/1001.response-001

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